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Intranasal fusion inhibitory lipopeptide prevents direct-contact SARS-CoV-2 transmission in ferrets

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Containment of the COVID-19 pandemic requires reducing viral transmission. SARS-CoV-2 infection is initiated by membrane fusion between the viral and host cell membranes, mediated by the viral spike protein. We have designed lipopeptide fusion inhibitors that block this critical first step of infection, and based on in vitro efficacy and in vivo biodistribution selected a dimeric form for evaluation in an animal model. Daily intranasal administration to ferrets completely prevented SARS-CoV-2 direct-contact transmission during 24-hour co-housing with infected animals, under stringent conditions that resulted in infection of 100% of untreated animals. These lipopeptides are highly stable and thus may readily translate into safe and effective intranasal prophylaxis to reduce transmission of SARS-CoV-2.

Infection by SARS-CoV-2 requires membrane fusion between the viral envelope and the host cell, at either the cell surface or the endosomal membrane. The fusion process is mediated by the viral transmembrane spike glycoprotein (S). Upon viral attachment or uptake, host factors trigger large-scale conformational rearrangements in S, including a refolding step that leads directly to membrane fusion and viral entry (1–3). Peptides corresponding to the highly conserved heptad repeat (HR, Fig. 1A) domain at the C terminus of the S protein (HRC peptides, Fig. 1B) can prevent this refolding and inhibit fusion, thereby preventing infection (4–8). The HRC peptides form six-helix bundle-like assemblies with the extended intermediate form of the S protein trimer, disrupting the structural rearrangement of S that drives membrane fusion (4) (see also movie S1).

Our approach in designing SARS-CoV-2 S-specific fusion inhibitors builds on our previous work that demonstrated that lipid conjugation of HRC-derived inhibitory peptides markedly increases antiviral potency and in vivo half-life (9, 10). These peptides successfully inhibit human parainfluenza virus type 3 (HPIV-3), measles virus, influenza virus, and Nipah virus infection (9, 11–13). Furthermore, the

combination of dimerization and lipopeptide integration into cell membranes protects the respiratory tract and prevents systemic lipopeptide dissemination (14). Lipid-conjugated peptides administered intranasally to animals reached high concentrations both in the upper and lower respiratory tract, and the lipid could be designed to modulate the extent of transit from the lung to the blood and organs (9, 14). We propose a SARS-CoV-2 specific lipopeptide as a candidate antiviral for pre-exposure and early post-exposure prophylaxis for SARS-CoV-2 transmission in humans.

We recently described a monomeric SARS-CoV-2 HRC-lipopeptide fusion inhibitor (4) against SARS-CoV-2 with in vitro and ex vivo efficacy superior to previously described HRC-derived fusion inhibitory peptides (6, 7). To further improve antiviral potency, we compared monomeric and dimeric HRC-peptide derivatives (Fig. 1C). Figure 1D shows antiviral potency in a quantitative cell-cell fusion assay of four monomeric and two dimeric SARS-CoV-2 S-derived 36-amino acid HRC-peptides (Fig. 1B, see also figs. S1A and S3 for structure and characterization), without or with appended cholesterol. Dimerization increased the peptide potency for both non-lipidated peptides and their lipidated

counterparts (Fig. 1D). A dimeric cholesterol-conjugated lipopeptide based on the HPIV-3 F protein HRC domain, used as a negative control, did not inhibit fusion at any concentration tested (black line in Fig. 1D, see fig. S1, B and C, for additional negative controls). Among the monomeric lipopeptides, the peptide bearing PEG₂₄ was most potent. The dimeric cholesterol-conjugated peptide ([SARS_{HRC}-PEG₄]₂-chol; red line in Fig. 1D) was the most potent lipopeptide against SARS-CoV-2 among our panel. This peptide also robustly inhibited fusion mediated by the S proteins of several emerging SARS-CoV-2 variants [including D614G (15)], the recent variants of concern B.1.1.7 and B.1.351 (16, 17) and the S protein of SARS-CoV and MERS-CoV (Fig. 1E). Proposed anchoring of the dimeric lipopeptide in the host cell membrane and interactions with the viral S protein are shown in fig. S2 and movie S1. Collectively, these data suggest that the [SARS_{HRC}-PEG₄]₂-chol lipopeptide is equipped to combat an evolving pandemic.

For other enveloped respiratory viruses, we previously showed that both ex vivo and in vivo dimeric lipopeptides (administered intranasally) displayed increased retention in the respiratory tract compared to monomeric compounds (14). Here, we compared local and systemic biodistribution of our most potent monomeric and dimeric lipopeptides (SARS_{HRC}-PEG₂₄-chol and [SARS_{HRC}-PEG₄]₂-chol) at 1, 8, and 24 hours after intranasal inoculation or subcutaneous injection in humanized K18 hACE2 mice (Fig. 2 and fig. S4). The two lipopeptides reached a similar lung concentration at 1 hour after intranasal administration (~1 to 2 μM). At 8 and 24 hours, the dimeric [SARS_{HRC}-PEG₄]₂-chol lipopeptide remained at high levels in the lung with minimal entry into the blood, but the monomeric peptide entered the circulation and the lung concentration decreased (Fig. 2A). The dimeric [SARS_{HRC}-PEG₄]₂-chol lipopeptide was distributed throughout the lung after intranasal administration (Fig. 2B). A cellular toxicity (MTT) assay in primary HAE cells showed minimal toxicity even after 6 days at the highest concentrations tested (<20% at 100 μM), and no toxicity at its IC₉₀ entry inhibitory concentrations (~35 nM) (fig. S5). The longer respiratory tract persistence of [SARS_{HRC}-PEG₄]₂-chol, in concert with its in vitro efficacy, led us to advance this dimeric lipopeptide.

The lead peptide, [SARS_{HRC}-PEG₄]₂-chol, was assessed for its ability to block entry of SARS-CoV-2 in VeroE6 cells or VeroE6 cells overexpressing the protease TMPRSS2, one of the host factors thought to facilitate viral entry at the cell membrane (2). Whereas viral fusion in VeroE6 cells predominantly occurs after endocytosis, the virus enters TMPRSS2-overexpressing cells by fusion at the cell surface, reflecting the entry route in airway cells (18). This difference is highlighted by chloroquine's effectiveness against SARS-CoV-2 infection in Vero cells but failure in TMPRSS2-expressing Vero

cells and human lung (19). The [SARS_{HRC}-PEG₄]₂-chol peptide dissolved in an aqueous buffer containing 2% dimethylsulfoxide (DMSO) inhibited virus entry after 8 hours with an IC₅₀ ~300 nM in VeroE6 and ~5 nM in VeroE6-TMPRSS2 cells (Fig. 3A). To strengthen translational potential toward human use, the lipopeptide was reformulated in sucrose instead of DMSO, resulting in equivalent in vitro potency (Fig. 3B). A control dimeric fusion-inhibitory lipopeptide directed against HPIV-3 blocked infection by HPIV-3, but did not inhibit SARS-CoV-2 infection. The in vitro efficacy data are summarized in table S1.

Ferrets are an ideal model for assessing respiratory virus transmission, either by direct contact or by aerosol transmission (20, 21). Mustelids are highly susceptible to infection with SARS-CoV-2, as also illustrated by frequent COVID-19 outbreaks at mink farms. Direct contact transmission of SARS-CoV in ferrets was demonstrated in 2003 (22), and both direct contact and airborne transmission have been shown in ferrets for SARS-CoV-2 (20, 23). Direct contact transmission in the ferret model is highly reproducible (100% transmission from donor to acceptor animals), but ferrets display limited clinical signs. After infection via direct inoculation or transmission, SARS-CoV-2 can readily be detected in and isolated from the throat and nose, and viral replication leads to seroconversion.

To assess the efficacy of [SARS_{HRC}-PEG₄]₂-chol in preventing SARS-CoV-2 transmission, naïve ferrets were dosed prophylactically with the lipopeptide before being co-housed with SARS-CoV-2 infected ferrets. In this setup, transmission via multiple routes can theoretically occur (aerosol, orofecal, and scratching or biting), and ferrets are continuously exposed to infectious virus during the period of co-housing, providing a stringent test for antiviral efficacy. The study design is shown in fig. S6. Three donor ferrets (gray in diagram) were inoculated intranasally with 5 × 10⁵ TCID₅₀ SARS-CoV-2 on day 0. Twelve recipient ferrets housed separately were treated by nose drops with a mock preparation (red) or [SARS_{HRC}-PEG₄]₂-chol peptide (green) 1 and 2 days post-inoculation (DPI) of the donor animals. The [SARS_{HRC}-PEG₄]₂-chol peptides for intranasal administration were dissolved to a concentration of 6 mg/ml in an aqueous buffer containing 2% DMSO, administering a final dose of 2.7 mg/kg to ferrets (450 μl, equally divided over both nostrils). Peptide stocks and working dilutions had similar IC₅₀'s, confirming that peptide-treated ferrets were dosed daily with comparable amounts (fig. S7, A and B). Six hours after the second treatment on 2 DPI, one infected donor ferret (highly positive for SARS-CoV-2 by RT-qPCR) was co-housed with four naïve recipient ferrets (two mock-treated, two peptide-treated). After a 24-hour transmission period in three separate, negatively pressurized HEPA-filtered ABSL3-isolator cages, co-housing was stopped and donor, mock-treated and peptide-treated

ferrets were housed as separate groups. Additional [SARS_{HRC}-PEG₄]₂-chol peptide treatments were given to recipient animals on 3 and 4 DPI.

The viral loads (detection of viral genomes via RT-qPCR) for directly inoculated donor animals (gray), mock-treated recipient animals (red) and lipopeptide-treated recipient animals (green) are shown in Fig. 4, A and B. All directly inoculated donor ferrets were productively infected, as shown by SARS-CoV-2 genome detection in throat and nose swabs, and efficiently and reproducibly transmitted the virus to all mock-treated acceptor ferrets (Fig. 4, A and B, red curves). Productive SARS-CoV-2 infection was not detected in the throat or nose of any of the peptide-treated recipient animals (Fig. 4, A and B, green curves). A slight rise in viral loads in samples collected at 3 DPI was detected (at the end of the co-housing), confirming that peptide-treated animals were exposed to SARS-CoV-2. In Fig. 4C the area under the curve (AUC) shows the striking difference between the mock treated and the peptide treated animals. No infectious virus was isolated from lipopeptide-treated ferrets, while infectious virus was detected in all mock-treated ferrets (Fig. 4D). Virus isolation data correlated with genome detection (Fig. 4E).

Seroconversion occurred in donor ferrets and 6/6 mock-treated animals by 21 DPI, but in none of the peptide-treated recipient animals, as shown by S- and N-specific IgG enzyme-linked immunosorbent assay (ELISA) and virus neutralization (Fig. 4, F to H). Successful challenge infection confirmed that in-host virus replication had been completely blocked by the [SARS_{HRC}-PEG₄]₂-chol treatment (Fig. 4I and fig. S8) and that none of the peptide-animals were protected, whereas the mock-treated animals (which had seroconverted) were all protected. Collectively, these data show that intranasal prophylactic administration of the [SARS_{HRC}-PEG₄]₂-chol peptide had protected 6/6 ferrets from transmission and productive infection.

In light of the persistence of the dimeric lipopeptide in the murine lung (Fig. 2 and fig. S4), we assessed the potential for a single administration of sucrose-formulated lipopeptide in a ferret transmission experiment two hours before co-housing to prevent or delay infection. In this experiment, we used a dimeric HPIV-3-specific lipopeptide as mock control (fig. S9). Although sucrose formulation had resulted in promising results in vitro at small scale (Fig. 3B), formulation at larger scale resulted in incomplete dissolution. As a consequence, the sucrose-formulated [SARS_{HRC}-PEG₄]₂-chol lipopeptide was administered at a substantially lower concentration than in the experiment with the DMSO-formulated lipopeptide (fig. S7, C and D). Nevertheless, the SARS-CoV-2 lipopeptide provided a significant level of protection as compared to the HPIV-3 control group, and four out of six SARS-CoV-2 lipopeptide-treated animals were protected against infection. This experiment suggests that single-

administration pre-exposure prophylaxis is promising, while the optimal formulation and dosing regimen is an area of ongoing experimentation.

The intranasal [SARS_{HRC}-PEG₄]₂-chol peptide presented in this study is the first successful prophylaxis that prevents SARS-CoV-2 transmission in a relevant animal model, providing complete protection during a 24-hour period of intense direct contact. Parallel approaches to prevent transmission that target the interaction between S and ACE2 have shown promise in vitro [e.g., the “miniprotein” approach (24)]. The lipopeptide described here acts on the S2 domain after shedding of S1 (fig. S2 and movie S1), and is complementary to strategies that target S1’s functions or maintain S in its pre-fusion conformation, e.g., synthetic nanobodies (25, 26). Fusion-inhibitory lipopeptides could be used for pre- and post-exposure prophylaxis in combination with these strategies, and in conjunction with treatments [e.g., ribonucleoside analogs (27)] that reduce replication in a treated infected individual. A combination of drugs that target different aspects of the viral life cycle is likely ideal for this rapidly-evolving virus. Of note, the [SARS_{HRC}-PEG₄]₂-chol lipopeptide is equally active against several emerging SARS-CoV-2 variants including the D614G as well as the recent variants of concerns (B.1.1.7 and B.1.351). The [SARS_{HRC}-PEG₄]₂-chol peptide has a long shelf life, does not require refrigeration and can easily be administered, making it particularly suited to treating hard-to-reach populations. This is key in the context of COVID-19, which has reached every community with the burden falling disproportionately on low-income and otherwise marginalized communities. This HRC lipopeptide fusion inhibitor is feasible for advancement to human use and should readily translate into a safe and effective nasal spray or inhalation administered fusion inhibitor for SARS-CoV-2 prophylaxis, supporting containment of the ongoing COVID-19 pandemic.

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interests: R.D.d.V., F.T.B., R.L.d.S., A.M. and M.P. are listed as inventors of [SARS_{HRC}-PEG₄]₂-chol on a provisional patent application covering findings reported in this manuscript. **Data and materials availability:** All data are available in the manuscript or the supplementary materials. Materials are available by MTA with the Trustees of Columbia University, NYC. This work is licensed under a Creative Commons Attribution 4.0 International (CC BY 4.0) license, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited. To view a copy of this license, visit <https://creativecommons.org/licenses/by/4.0/>. This license does not apply to figures/photos/artwork or other content included in the article that is credited to a third party; obtain authorization from the rights holder before using such material. Reagents are available from the corresponding authors under a material agreement with Columbia University.

SUPPLEMENTARY MATERIALS

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Materials and Methods

Figs. S1 to S10

Table S1

References (28–34)

MDAR Reproducibility Checklist

Movie S1

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Fig. 1. Peptide-lipid conjugates that inhibit SARS-CoV-2 spike (S)-mediated fusion. (A) The functional domains of SARS-CoV-2 S protein: receptor-binding domain (RBD) and heptad repeats (HRN and HRC) are indicated. (B) Sequence of the peptides derived from the HRC domain of SARS-CoV-2 S. (C) Monomeric and dimeric forms of lipid tagged SARS-CoV-2 inhibitory peptides that were assessed in cell-cell fusion assays. (D) Cell-cell fusion assays with different inhibitory peptides. The percentage inhibition is shown for six different SARS-CoV-2-specific peptides and a control HPIV-3-specific peptide at increasing concentrations. Percent inhibition was calculated as the ratio of the relative luminescence units in the presence of a specific concentration of inhibitor and the relative luminescence units in the absence of inhibitor, corrected for background luminescence. % inhibition = $100 \times [1 - (\text{luminescence at } X - \text{background}) / (\text{luminescence in absence of inhibitor} - \text{background})]$. The difference between the results for $[\text{SARS}_{\text{HRC}}\text{-PEG}_4]_2\text{-chol}$ and $\text{SARS}_{\text{HRC}}\text{-PEG}_4\text{-chol}$ lipopeptides was statistically significant (two-way ANOVA, $P < 0.0001$). (E) Fusion inhibitory activity of $[\text{SARS}_{\text{HRC}}\text{-PEG}_4]_2\text{-chol}$ peptide against emerging SARS-CoV-2 S variants, MERS-CoV-2 S, and SARS-CoV S. Data in (D) and (E) are means $\pm$ standard error of the mean (SEM) from three separate experiments with the curve representing a four-parameter dose-response model.

Fig. 2. Biodistribution of [SARS_{HRC}-PEG₄]₂-chol and SARS_{HRC}-PEG₂₄ peptides after intranasal administration to mice. (A) The concentration of lipopeptides (y axis) was measured by ELISA in lung homogenates and plasma samples (peptide-treated $n = 3$ to 4, mock $n = 1$). Median is indicated by horizontal bar. (B) Lung sections of [SARS_{HRC}-PEG₄]₂-chol-treated (or vehicle-treated) mice were stained with anti-SARS-HRC antibody (red) confirming broad distribution of [SARS_{HRC}-PEG₄]₂-chol in the lung (8 hours post-inoculation, 8HPI). Scale bar = 500 μ m in lung tile scan, 50 μ m in magnification, representative images and a full tile scan are shown. Nuclei were counterstained with DAPI (blue).

Fig. 3. Inhibition of infectious SARS-CoV-2 entry by [SARS_{HRC}-PEG₄]₂-chol and [HPIV-3_{HRC}-PEG₄]₂-chol peptides. (A and B) The percentage inhibition of infection is shown on VeroE6 and VeroE6-TMPRSS2 cells with increasing concentrations of [SARS_{HRC}-PEG₄]₂-chol (red lines) and [HPIV-3_{HRC}-PEG₄]₂-chol (gray lines). DMSO-formulated (A) and sucrose-formulated stocks (B) were tested side-by-side. Mean $\pm$ SEM of triplicates are shown, dotted lines show 50% and 90% inhibition. Additionally, the potency of [HPIV-3_{HRC}-PEG₄]₂-chol was confirmed by inhibition of infectious HPIV-3 entry (dotted green lines, performed on Vero cells).

Fig. 4. [SARS_{HRC}-PEG₄]₂-chol prevents SARS-CoV-2 transmission in vivo. (A and B) Viral loads detected in throat (A) and nose (B) swabs by RT-qPCR. (C) Comparison of the area under the curve (AUC) from genome loads reported in B for mock- and peptide-treated sentinels. (D) Viral loads detected in throat swabs by virus isolation on VeroE6. (E) Correlation between viral loads in the throat as detected via RT-qPCR and virus isolation. Presence of anti-S (F) or anti-N (G) antibodies was determined by IgG ELISA assay. Presence of neutralizing antibodies was determined in a virus neutralization assay (H). Virus neutralizing antibodies are displayed as the endpoint serum dilution factor that blocks SARS-CoV-2 replication. Direct inoculation of peptide-treated or mock-treated animals with SARS-CoV-2 led to productive infection in only the previously peptide-treated animals (I), in the absence of S-specific, N-specific and neutralizing antibodies. Donor animals shown in gray, mock-treated animals in red, peptide-treated animals in green. Symbols correspond to individual animals (defined in fig. S6). Line graphs in (A), (B), (D), and (F) to (I) connect the median of individual animals per time point. Mock- and peptide-treated groups were compared via two-way ANOVA repeated measures [(A), (B), and (F) to (I)] or Mann-Whitney test (C).